



Form Requesting Application of Document Production Lists

This Form should be used in Simplified Arbitration Cases with no hearing or with a Special Proceeding to request application of the Document Production Lists in the Discovery Guide. This form must only be submitted by the customer or the customer's representative.

Case Number:

Case Name:

Name of Party Requesting Application of Document Production Lists ("Requesting Customer"):

The Requesting Customer hereby requests that the Document Production Lists apply to all parties in this arbitration, pursuant to FINRA Rule 12800(g)(1)(B).

Printed Name of Customer or Customer's Representative

Signature of Customer or Customer's Representative

Date